

National Stock Exchange Of India Limited

Department : SURVEILLANCE

Download Ref No: NSE/SURV/44837

Date: July 01, 2020

Circular Ref. No: 406/2020

To All NSE Members

Sub: List of Securities moving to Stage I of Graded Surveillance Measure (GSM)

In continuation to Exchange circular(s) NSE/SURV/34262, NSE/SURV/42135, NSE/SURV/42790 and NSE/SURV/44715 dated February 23, 2017, September 13, 2019, November 29, 2019 and June 19, 2020 respectively, members are hereby requested to note that the following securities shall be moved to Stage I of GSM with effect from July 02, 2020.

Sr. No.	Symbol	Security Name	ISIN
1	SHAHALLOYS	Shah Alloys Limited	INE640C01011

Trading in the above mentioned securities shall be available with margin rate of 100% and price band of 5% or lower as applicable.

Members are requested to take adequate precaution while trading in the above securities, as the settlement shall be done on trade-to-trade basis and no netting off shall be allowed. Please refer below Annexure for stage wise Surveillance actions as per GSM framework.

In case of any further queries, members are requested to contact on 022-26598129/8166.

For National Stock Exchange of India Limited

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National Stock Exchange Of India Limited

Download Ref No: NSE/SURV/44838

Date: July 01, 2020

Circular Ref. No: 407/2020

To All NSE Members

Sub: List of Securities moving to Stage II of Graded Surveillance Measure (GSM)

In continuation to Exchange circular(s) NSE/SURV/34262, NSE/SURV/42135, NSE/SURV/42790 and NSE/SURV/44715 dated February 23, 2017, September 13, 2019, November 29, 2019 and June 19, 2020 respectively, members are hereby requested to note that the following securities shall be moved to Stage II of GSM with effect from July 02, 2020.

Sr. No.	Symbol	Security Name	ISIN
1	NIBL	NRB Industrial Bearings Limited	INE047001014
2	NATNLSTEEL	National Steel And Agro Industries Limited	INE088B01015
3	PROSEED	Proseed India Limited	INE217G01027

Trading in the above mentioned securities shall be available in Trade for Trade with price band of 5% or lower as applicable and Additional Surveillance Deposit (ASD) of 50% of trade value to be deposited by buyers.

Members are requested to take adequate precaution while trading in the above securities, as the settlement shall be done on trade-to-trade basis and no netting off shall be allowed. Please refer below Annexure for stage wise Surveillance actions as per GSM framework.

In case of any further queries, members are requested to contact on 022-26598129/8166.

For National Stock Exchange of India Limited

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National Stock Exchange Of India Limited

Download Ref No: NSE/SURV/44839

Date : July 01, 2020

Circular Ref. No: 408/2020

To All NSE Members

Sub: List of Securities moving to Stage III of Graded Surveillance Measure (GSM)

In continuation to Exchange circular(s) NSE/SURV/34262, NSE/SURV/42135, NSE/SURV/42790 and NSE/SURV/44715 dated February 23, 2017, September 13, 2019, November 29, 2019 and June 19, 2020 respectively, members are hereby requested to note that the following securities shall be moved to Stage III of GSM with effect from July 02, 2020.

Sr. No.	Symbol	Security Name	ISIN
1	UMESLTD	Usha Martin Education & Solutions Limited	INE240C01028

Trading in the above mentioned securities shall be available in Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday/1st trading day of the week) and ASD (100% of trade value) to be deposited by the buyers.

Members are requested to take adequate precaution while trading in the above securities, as the settlement shall be done on trade-to-trade basis and no netting off shall be allowed. Please refer Annexure for stage wise Surveillance actions as per GSM framework.

In case of any further queries, members are requested to contact on 022-26598129/8166.

For National Stock Exchange of India Limited

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Annexure:

Stage wise Surveillance actions as per GSM framework

Stage	Surveillance Actions		
I	Applicable margin rate shall be 100% and price band of 5% or lower as applicable.		
II	Trade for Trade with price band of 5% or lower as applicable and Additional Surveillance Deposit (ASD) of 50% of trade value to be deposited by buyers.		
III	Trade for Trade with price band of 5% or lower as applicable and Trading permitted once a week (Every Monday / 1 st trading day of the week) and ASD (100% of trade value) to be deposited by the buyers.		
IV	ade for Trade with price band of 5% or lower as applicable and Trading ermitted once a week (Every Monday / 1 st trading day of the week) and ASD 00% of trade value) to be deposited by the buyers with no upward movement		

Annexure A

Sr. No.	ISIN No	Security Name	Symbol	Moved to GSM Stage
1	INE640C01011	Shah Alloys Limited	SHAHALLOYS	1
2	INE047001014	NRB Industrial Bearings Limited	NIBL	2
3	INE088B01015	National Steel And Agro Industries Limited	NATNLSTEEL	2
4	INE217G01027	Proseed India Limited	PROSEED	2
5	INE240C01028	Usha Martin Education & Solutions Limited	UMESLTD	3